



# Introduction to jets and jet finding

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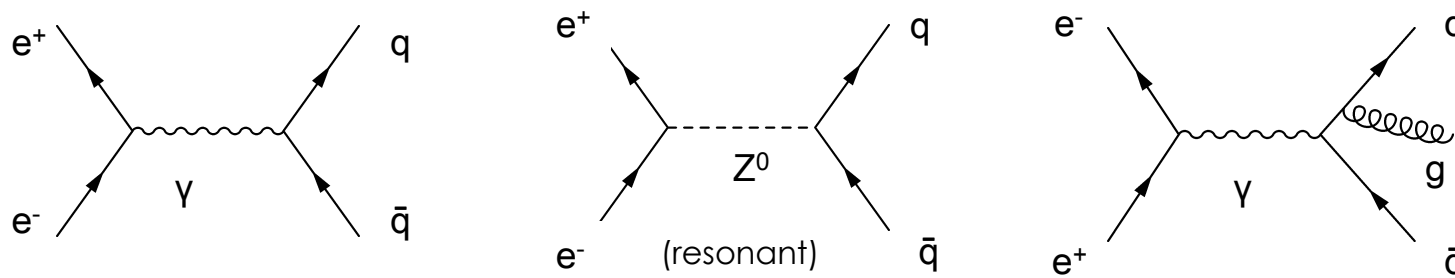
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# Outline

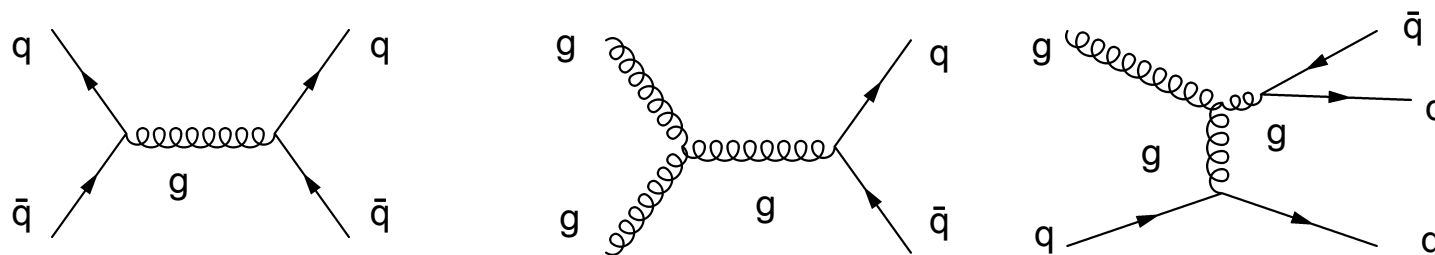
- Hard scattering processes
- Fragmentation Functions (FFs)
- Parton Distribution Functions (PDFs)
- QCD factorization
- Jets as probes of the QGP
- Jet finding
- Experimental challenges of jets in HI
- Jets in ALICE

# Hard scattering processes

Electroweak processes (e.g. LEP)



Quantum-Chromo-Dynamics processes (Tevatron, RHIC, LHC,...)



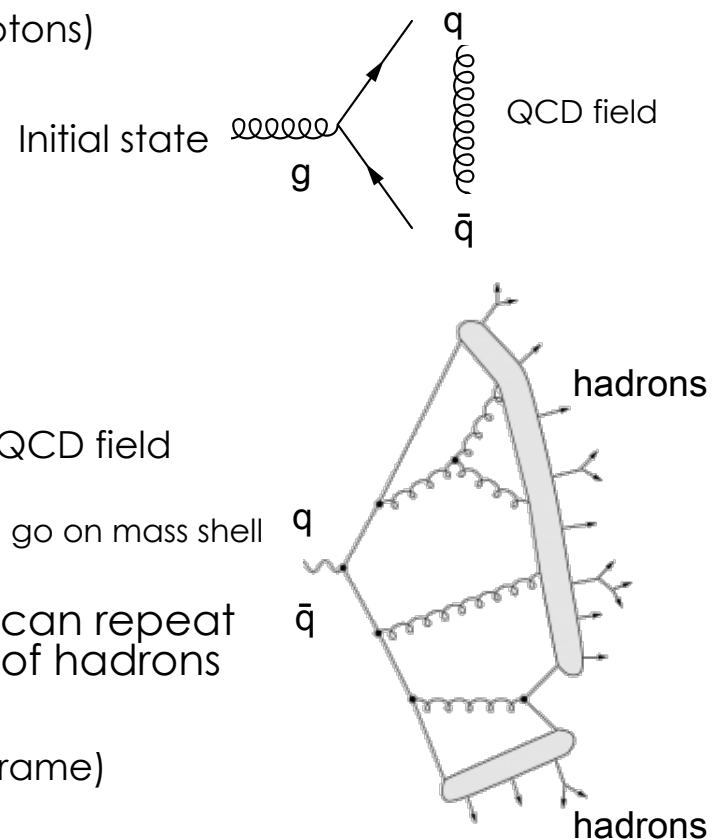
(most common at **Tevatron/RHIC** energies) (most common at **LHC** energies)

...and  $t$ -channels, 2<sup>nd</sup> order diagrams, etc.

- ✓ Quarks and gluons in the final state
- ✓ High momentum transfer

# “After” the scattering: Fragmentation Functions (FFs)

- QCD is a quantum field theory that has many non-trivial properties that derive from the  $SU(3)$  gauge symmetry
- Gluons bring **color-charge** (as opposed to the neutral photons)
  - Anti-screening effect (opposite of QED!)
- Interaction strength grows with distance
  - **Confinement** and **Asymptotic freedom**
- As the distance between two quarks becomes larger the QCD field grows greatly (at the expenses of the kinetic energy)...
  - ...till the energy of the field is high enough that a virtual  $q\bar{q}$  can go on mass shell
- If the total available energy is enough the process can repeat many times, eventually leading to a “spray” – jet – of hadrons
  - **Partons fragment into jets**
  - Jets are always produced **back-to-back** (in the c.m. frame)

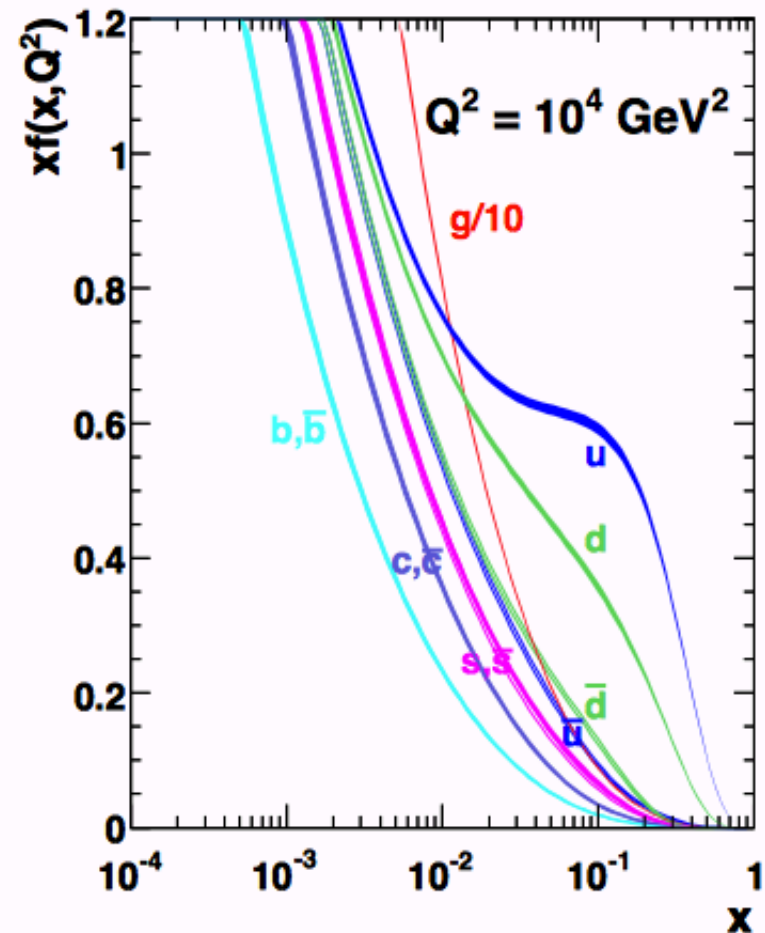
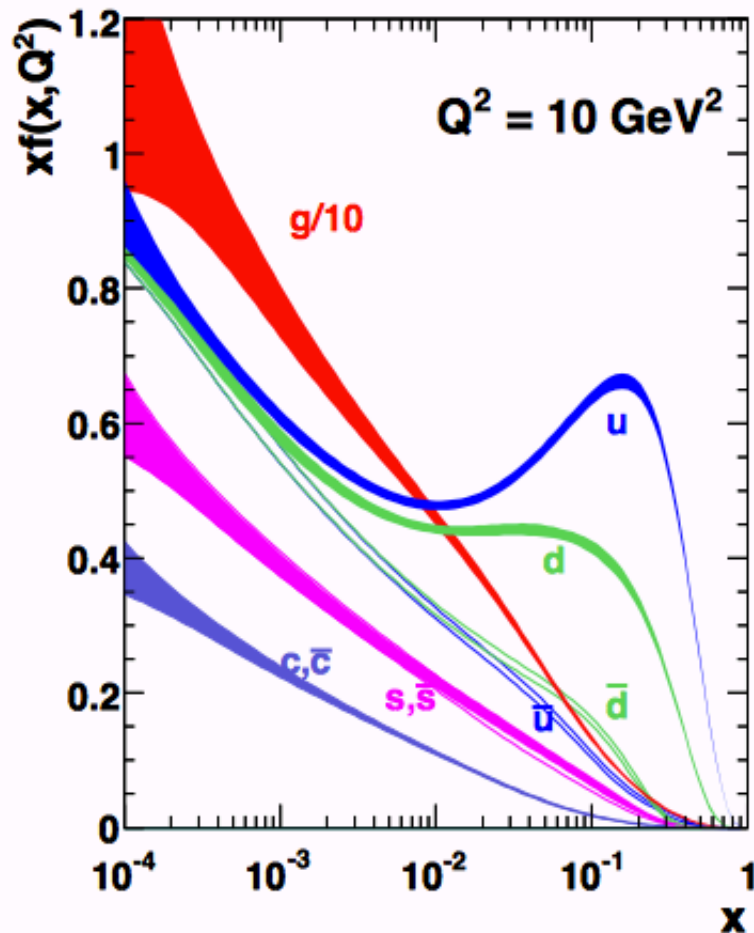


# “Before” the scattering: Parton Distribution Functions (PDFs)

- In  $e^+e^-$  collisions the initial state is defined completely by the kinematics of the beams
- In  $pp$ ,  $p\bar{p}$  or  $AA$  collisions the initial state is determined also by the internal structure of the colliding particles
  - Parton Distribution Functions (PDFs) have been studied since the late '60s in Deep-Inelastic-Scattering (DIS) experiments

$f_{i/N}(x, Q^2)$  = probability of having a **parton** of type **i** in a **nucleus** of type **N** that carries a **fraction** **x** of the nucleus momentum when the **exchanged 4-momentum squared** is  **$Q^2$**

# Example of PDFs



QCD Next-to-Leading-Order (NLO) calculation from <http://arxiv.org/pdf/0901.0002v3.pdf>

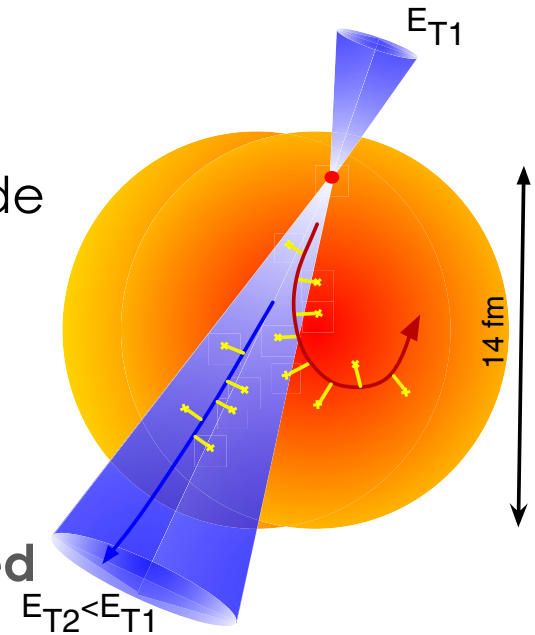
# QCD factorization

- QCD factorization theorem: can separate the perturbative QCD processes (**hard scattering**) from the non-perturbative low-momentum ones (**parton distribution function** and **fragmentation**)
  - Initial state (PDFs) + **hard scattering** + final state (FFs)

$$d\sigma^{NN \rightarrow h+X} = \sum_{fijk} f_{i/N}(x_1, Q^2) \otimes f_{j/N}(x_2, Q^2) \otimes \hat{\sigma}_{ij \rightarrow f+k} \otimes D_{f \rightarrow h}^{vac}(z, \mu_f^2)$$

# Jets and the QGP

- **Why are jets relevant to relativistic heavy-ion physics?**
- In a single AA collision, thousands of partons collide
  - Most of these collisions are low-momentum collisions
  - Lattice QCD predicts that a thermalized plasma, made of quark and gluons, is formed (QGP)
  - A few hard scatterings happen in this QCD-rich environment
- Which **aspects of jet production are likely modified** by the medium?
  - The **initial state (PDFs)** exists before the medium is formed
  - The **hard scatterings** involve highly virtual partons, which means that they can “survive” for a very short time (Heisenberg principle): the time scale is much shorter than the formation of the medium
  - The **fragmentation function** is the major candidate to look for modification



**Note:** the hadronization part of the **FF** happens at a time scale  $1/m_h$ : for most hadrons (low mass) this happens **outside** the medium!

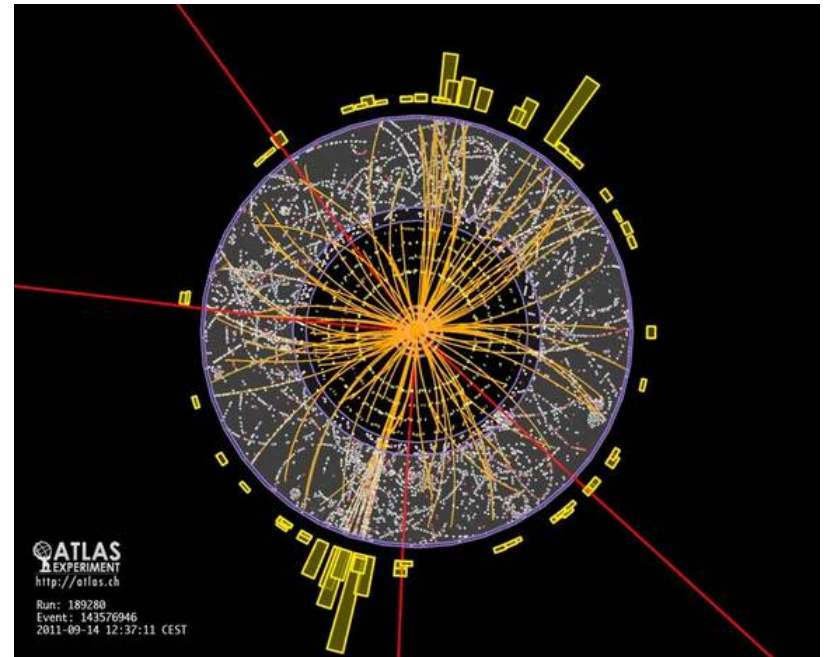


# Jets as probe of the QGP

- What can we expect from jet measurements in AA collisions?
- Jet quenching
  - Suppression of jet yield
  - Broadening of jet shape
  - Disappearance of away side jets
  - Di-jet or  $\gamma$ -jet energy imbalance
  - ...

# Jet finding

- Ok, this is nice... but how do we actually measure jets?
- Basic idea: look for regions in the  $\eta, \phi$  phase space with many high momentum particles
  - Need an algorithm to do this efficiently and quantitatively
  - Attempt to recover the kinematics of the original hard scattered parton
- Cone algorithms
  - Draw a cone of radius  $R$  around the most energetic particle in the event and sum all the momenta within that cone
  - Remove this cone from the event and repeat until no more particles above threshold are found
  - Can be improved in several (some very complicated) ways



# IRC-safety

- Jet finding algorithms are good, but not perfect
- Very hard to reconstruct the kinematics of the original parton
- Need to use the same algorithm in phenomenological model to compare with data
- Some constraints on the algorithms from theorists
  - **Infra-Red safety**: the outcome of the algorithm should not change because a low momentum particle is added to the event
  - **Collinear safety**: if a particle splits into two collinear particles the outcome should not change
- Important to avoid singularities in the calculations!

# Sequential recombination algorithms

- Most **cone algorithms are not IRC-safe** (exception: SIScone)
- **Sequential recombination algorithm** are a nice alternative
  - Used to be very slow
  - Modern, fast implementation: **FastJet**
  - Widely used at LHC
- Based on the definition of a measure

$$d_{ij} = \min(k_{Ti}^p, k_{Tj}^p) \frac{\Delta_{ij}^2}{R^2}$$

$$d_{iB} = k_{Ti}^p$$

$k_{Ti}$  = transverse momentum of particle  $i$

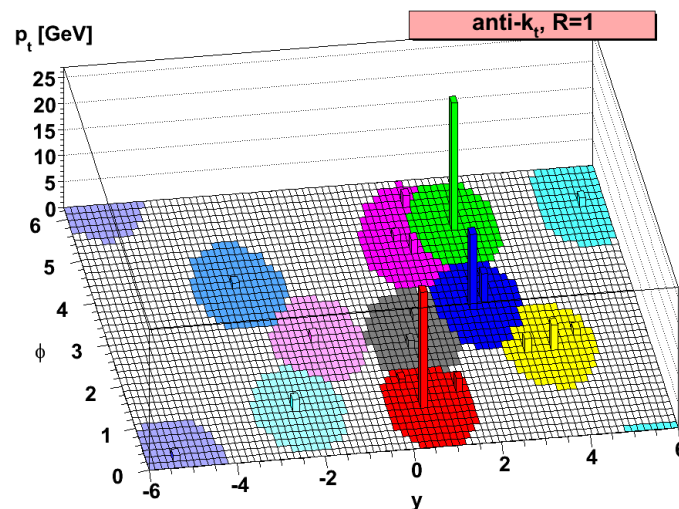
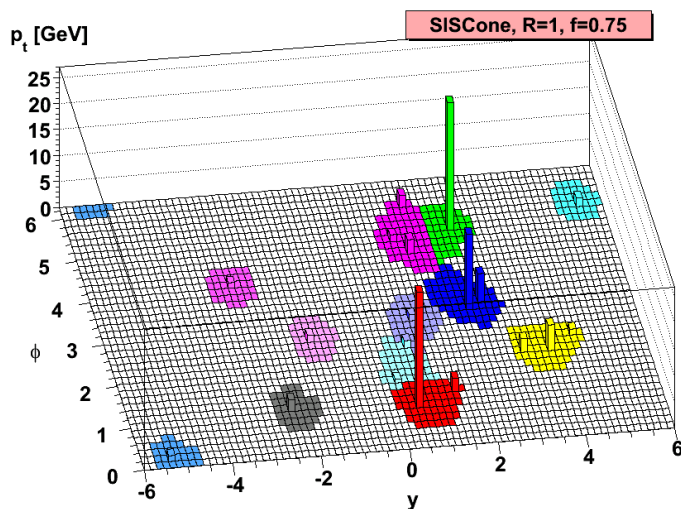
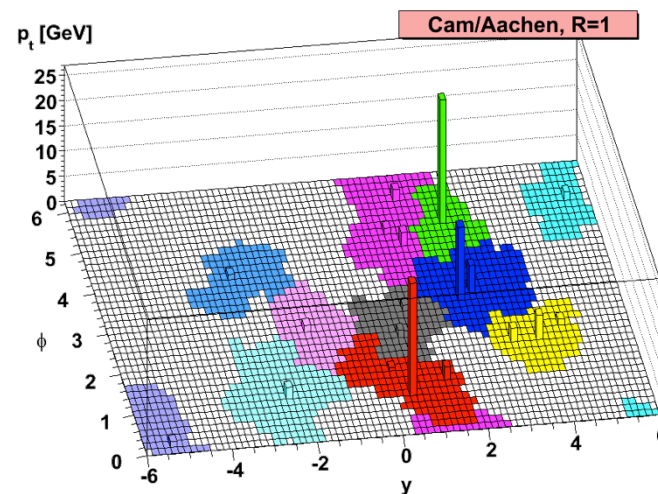
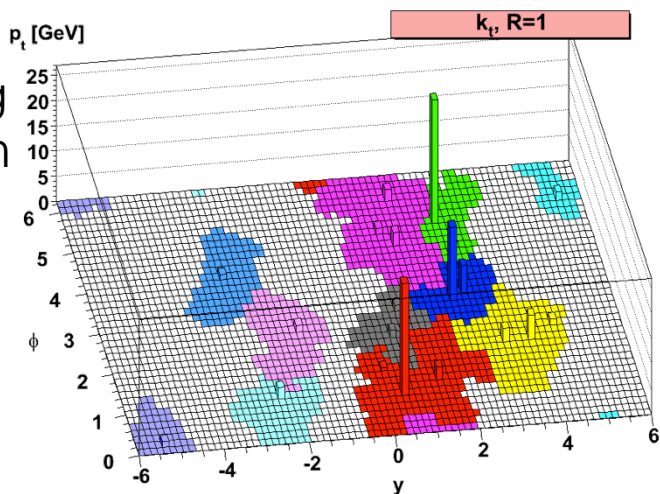
The choice of the **exponent  $p$**  distinguish one algorithm from the other:

- $p = 0$ : **Cambridge/Aachen**
- $p = 2$ :  $k_T$
- $p = -2$ : **anti- $k_T$**

**All these algorithms are IRC-safe!**

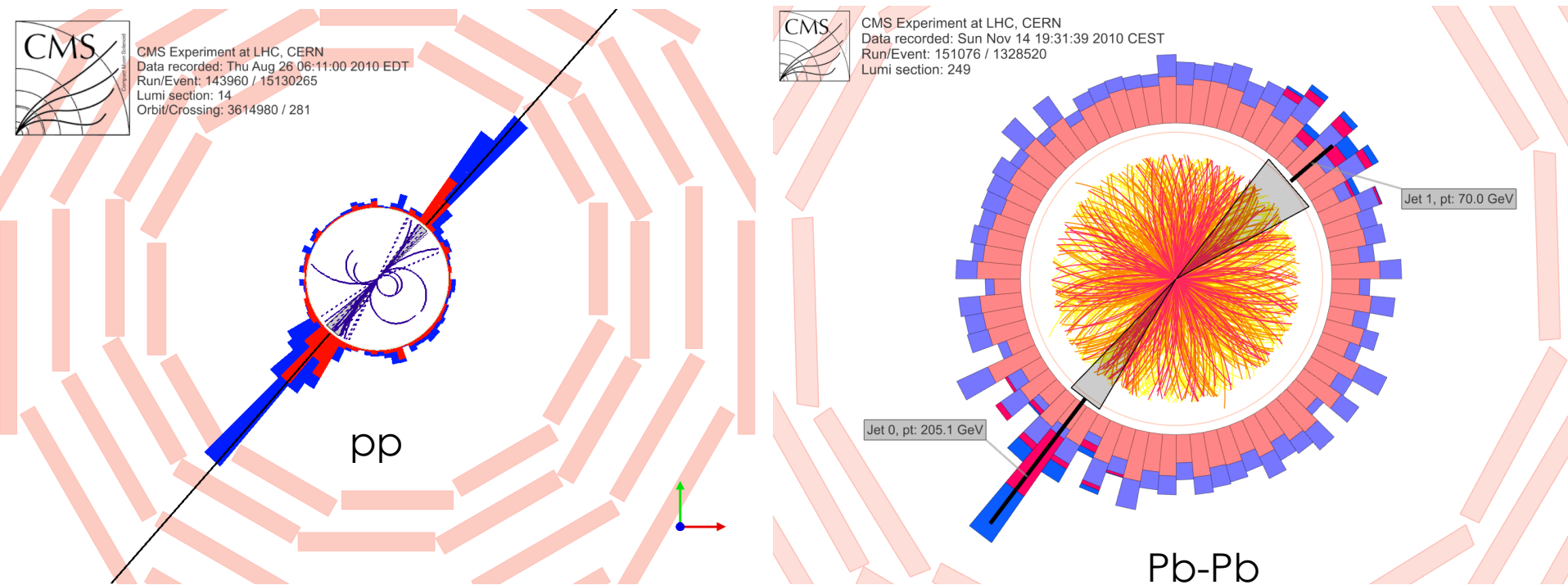
# A parton-level example

Clustering starts from low- $p_T$  particles



Clustering starts from high- $p_T$  particles, "soft-resilient" algorithm

# Experimental challenges



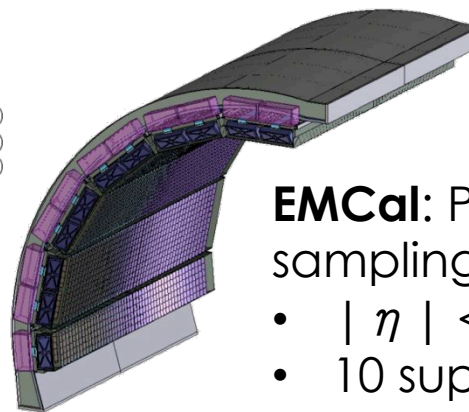
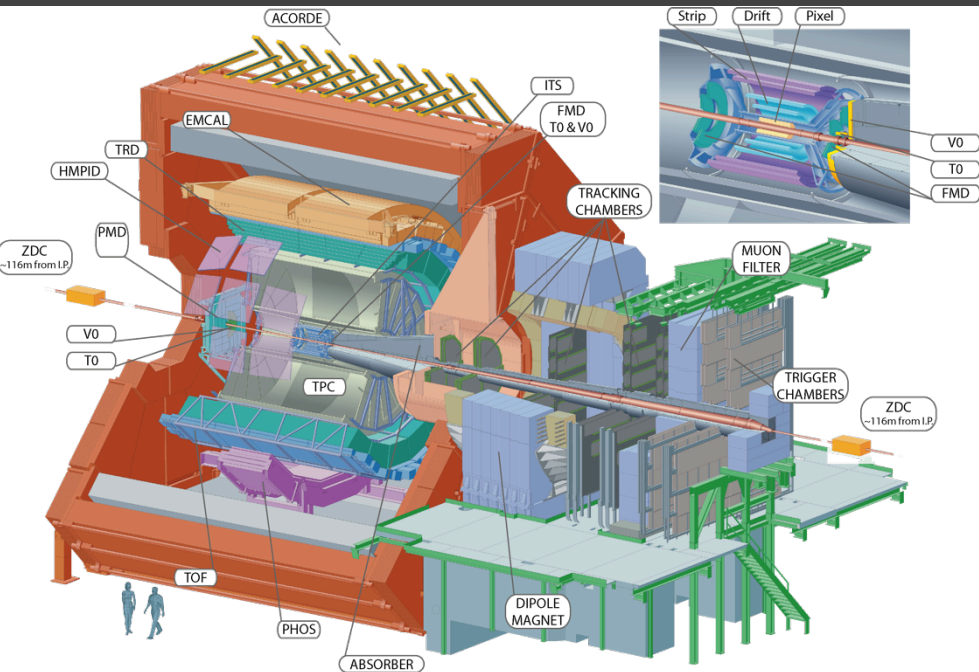
Huge background in Pb-Pb: looking for a jet is like looking for a (big) needle in a haystack!

# Background in AA collisions

- Huge background, **difficult to disentangle from the hard scattering**
  - theoretically – the scattered parton interacts with the medium
  - experimentally – we don't know the history of each particle, only their final state
  
- Jet finders cluster ALL particles in the event: only a very small fraction are “real” jets, the rest are low-momentum particles randomly clustered together
  
- Reduce **combinatorial (fake) jets** by using only high momentum particles and/or requiring a high momentum leading hadron
  - Bias the fragmentation!
  
- Several strategies to **subtract the background**, two main differences
  - CMS/ATLAS: subtract background BEFORE jet finding
  - ALICE/STAR: subtract background AFTER jet finding
  
- **Region-to-region fluctuations** in the background are important
  - Affect jet momentum resolution



# Jets in ALICE



**EMCal:** Pb-scintillator sampling calorimeter

- $|\eta| < 0.7, 1.4 < \phi < \pi$
- 10 supermodules, 11152 individual towers



Charged particle correction prevents double counting

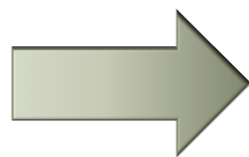


**Neutral constituents**

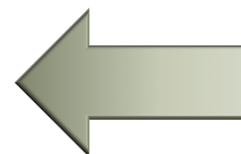
Tracking:  $|\eta| < 0.9, 0 < \Phi < 2\pi$   
**TPC:** gas detector  
**ITS:** silicon detector



**Charged constituents**

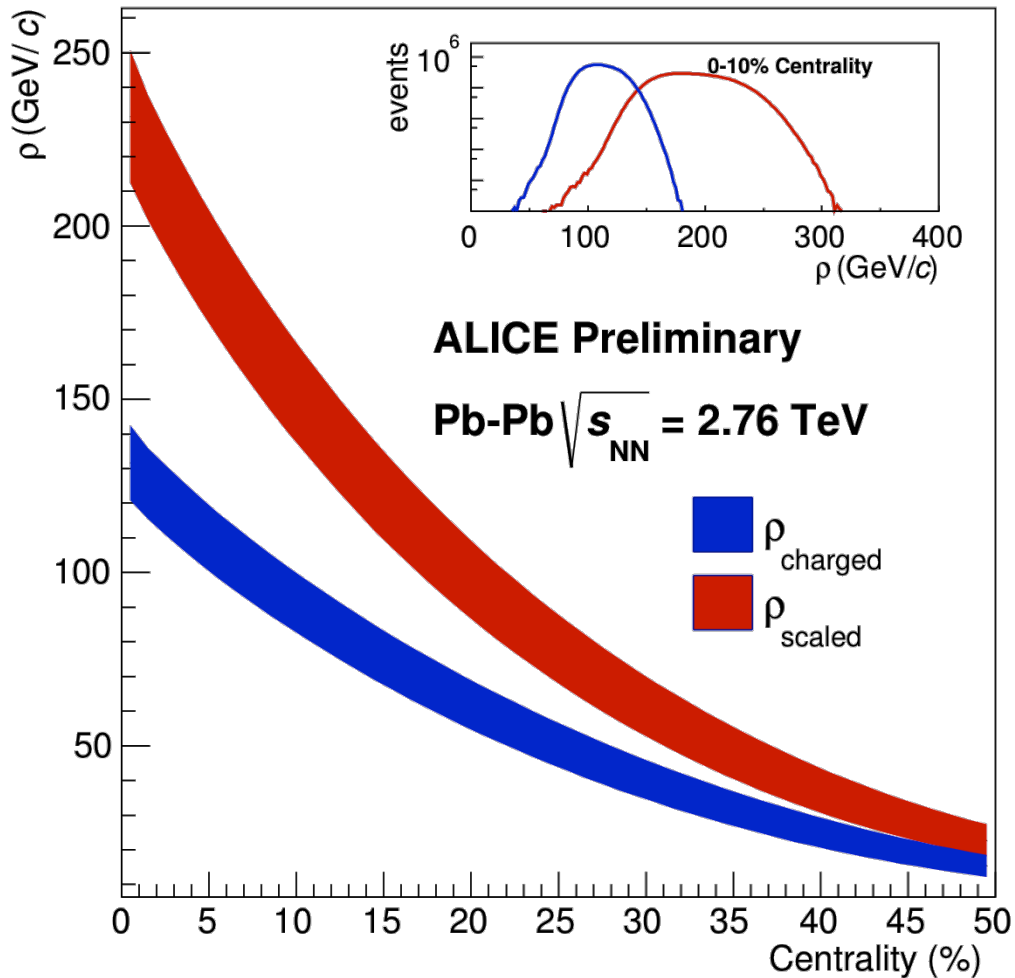


**JET**





# ALICE: average background



- Event-by-event **charged background density:**

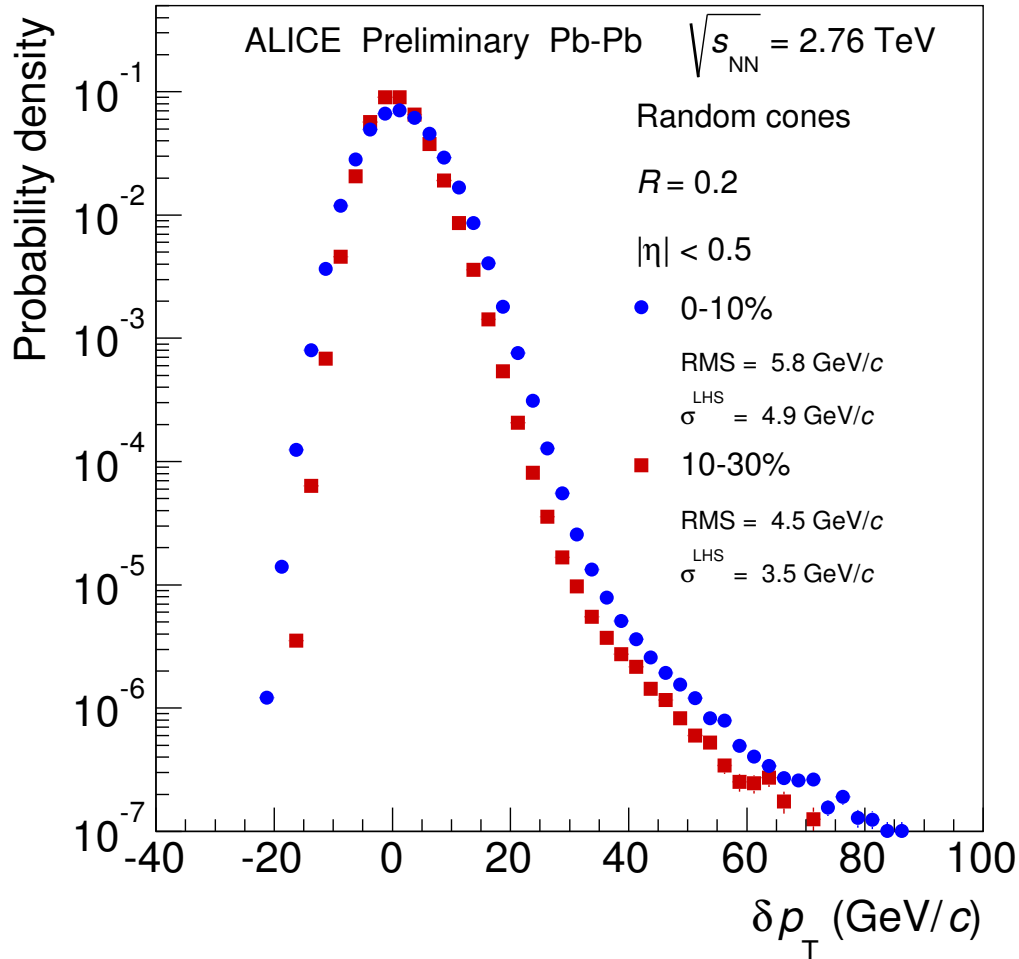
$$\rho_{\text{charged}} = \text{median} \left( \frac{p_T^{k_{T\text{jet}}}}{A^{k_{T\text{jet}}}} \right)$$

- Median approach reduces bias from signal jets
- Scaled** to account for neutral energy:

$$\rho_{\text{scaled}} = s_{\text{EMC}} \cdot \rho_{\text{charged}}$$

- Background density in most central events:  
 ~ 200 GeV/c per unit area  
 ~ 25 GeV/c for an  $R = 0.2$  jet!

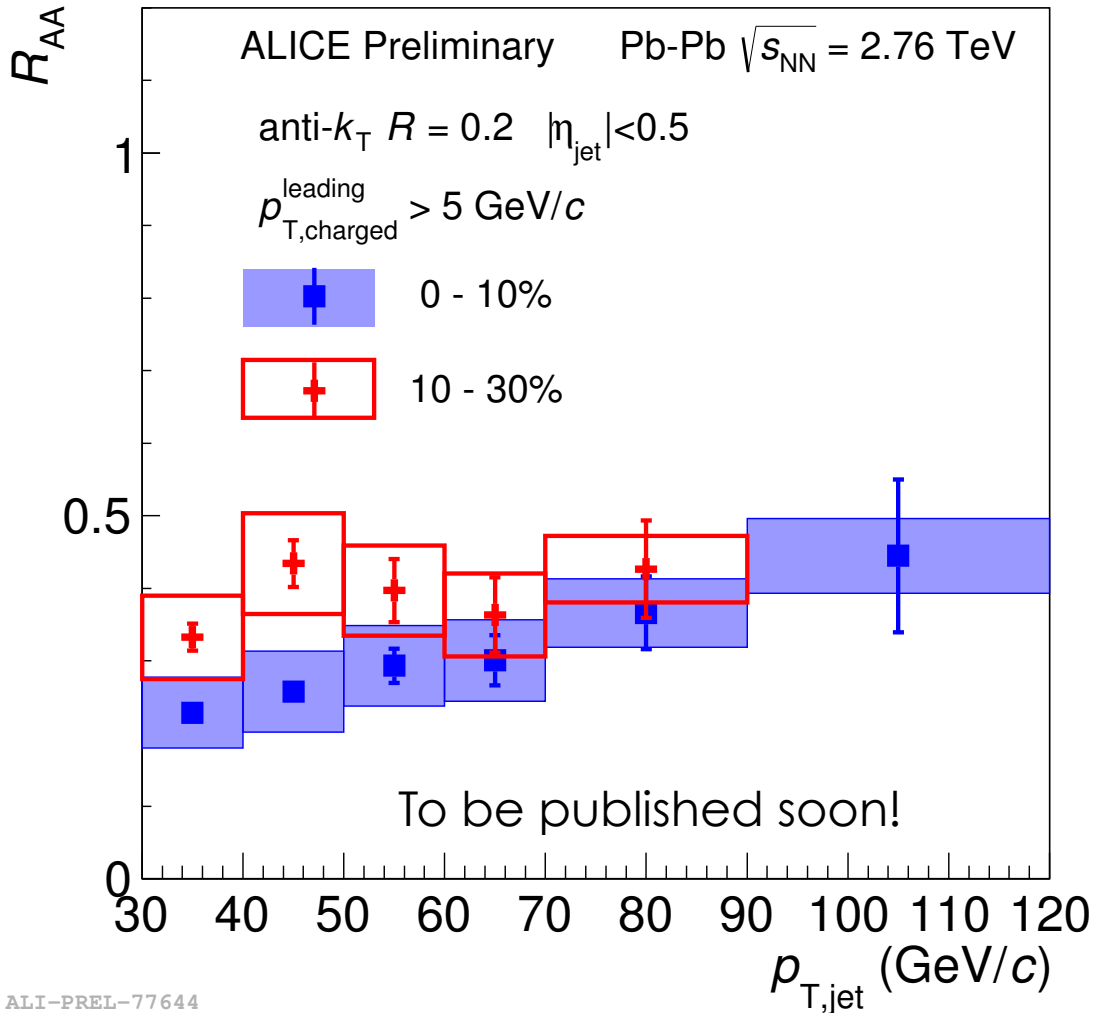
# ALICE: background fluctuations



- Background density fluctuates within event
- Smears jet momentum
- Fluctuation size characterized by  $\delta p_T$

$$\delta p_T = \sum p_{T, \text{part}} - \rho_{\text{scaled}} \pi R^2$$

# ALICE: jet suppression



- Compare with jet yield in pp collisions
- Need to factorize out the difference in the initial state (i.e. the PDFs)
- Done using a Monte Carlo “Glauber” model that assumes independent binary collisions
- $R_{AA}$  = nuclear modification factor

$$R_{AA} = \frac{1/N_{evt} d^2N_{jets}/dp_T d\eta}{T_{AA} d^2\sigma_{pp}/dp_T d\eta}$$

# Conclusions

- QCD processes happen at very different time scales, which makes it possible to factorize them
- Hard scatterings happen prior to the formation of the QCD medium
- The FFs can be modified by the medium (hadronization outside of the medium for most hadrons)
  - Jet quenching effects are predicted
- Various jet finding algorithms
  - Cone (used in the past), sequential recombination (LHC)
- Experimentally challenging because of the huge fluctuating background

# Backups

# Nucleus and nucleon structures

- 1909: Rutherford discover the **nucleus** using scattering of  $\alpha$ -particles through a gold foil ( $E \sim 5$  MeV)
- 1950s: first measurements of **nuclear form factors** using scattering of electrons off a nucleus ( $E \sim 500$  MeV)
- 1960s: **nucleon form factors** obtained using electron scattering with energies of ( $E \sim 1$  GeV)
- Late 1960s: first electron Deep-Inelastic-Scattering (DIS) experiments are performed at SLAC ( $E \sim 25$  GeV) – **parton structure** is first observed
- 1980: DIS experiments at CERN using muons ( $E \sim 300$  GeV) – “**sea**” **quarks** are observed
- 2000s: DIS experiments at DESY (30 GeV electrons against 900 GeV protons)

**Need higher energy to resolve smaller objects!**

# Nuclear form factors

Quantum scattering cross section can be obtained using Born approximation:

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{exp.}} = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}}^* \cdot |F(\mathbf{q}^2)|^2 \quad \leftarrow \text{nuclear form factor}$$

where

$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}}^* = \left(\frac{d\sigma}{d\Omega}\right)_{\text{Rutherford}} \cdot \left(1 - \beta^2 \sin^2 \frac{\theta}{2}\right) \quad \leftarrow \text{electron spin effects}$$

Under Born approximation and for small  $\mathbf{q}^2$ , the nuclear form factor  $F(\mathbf{q}^2)$  is the Fourier transform of the nuclear charge distribution:

$$F(\mathbf{q}) = \int e^{i\mathbf{q}\mathbf{x}/\hbar} f(\mathbf{x}) d^3x$$

Nuclear shapes were determined to be spherical or ellipsoidal, with a charge density falling off exponentially.

# Deep-Inelastic-Scattering

- Electron scattering off a nucleus,  $E \gg 1 \text{ GeV}$
- For elastic scattering with fixed beam energy, energy and momentum conservation implies that only one free kinematic parameter
- For inelastic scattering, there is an additional degree of freedom, the excitation energy of the proton; the invariant mass of the excited state is:

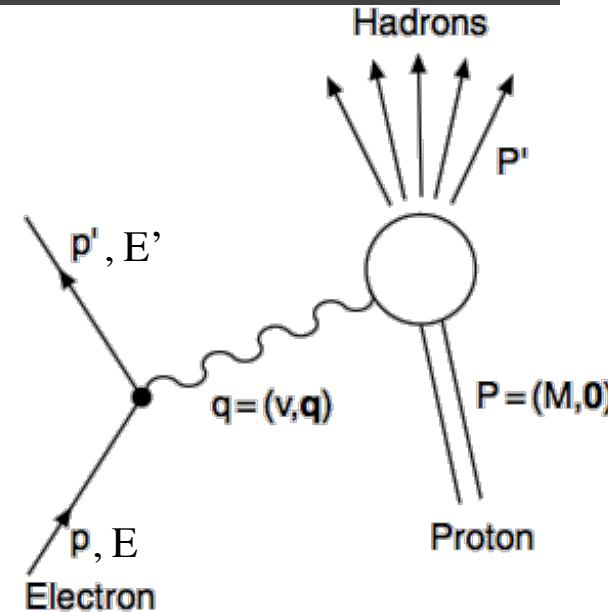
$$W^2 c^2 = P'^2 = (P + q)^2 = M^2 c^2 + 2Pq + q^2 = M^2 c^2 + 2M\nu - Q^2$$

where  $\nu = E - E'$

- If  $W = M$  (elastic scattering), then

$$2M\nu - Q^2 = 0$$

- If  $W > M$  (inelastic scattering), then  $2M\nu - Q^2 > 0$



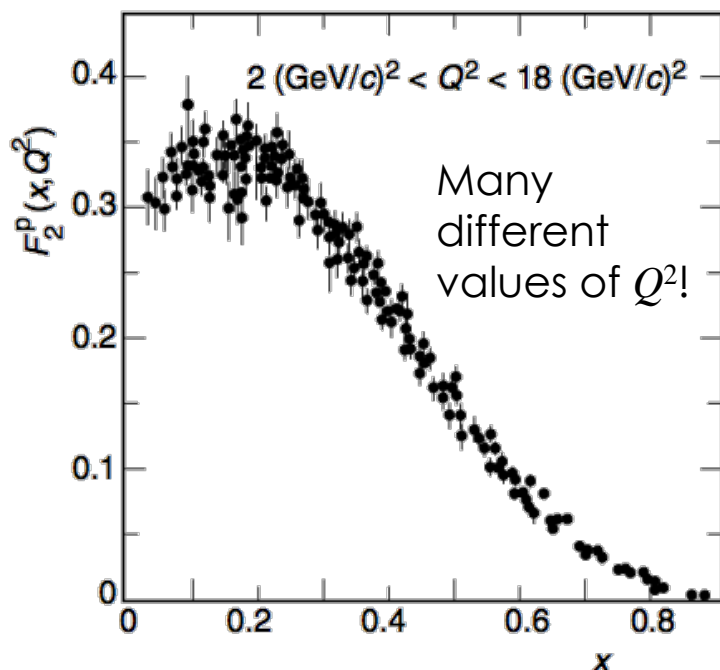


# Bjorken scaling

Define  $x := \frac{Q^2}{2Pq} = \frac{Q^2}{2M\nu}$

Elastic  $2M\nu - Q^2 = 0$   $\longrightarrow x = 1$

Inelastic  $2M\nu - Q^2 > 0$   $\longrightarrow 0 < x < 1$



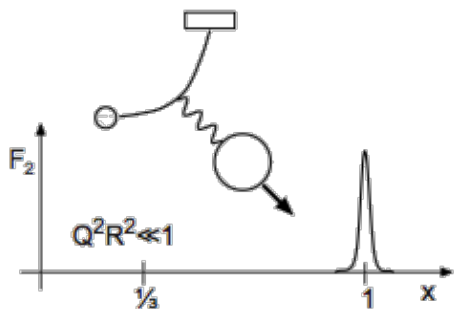
**Structure function  $F_2$**  – proportional to cross section

The peak is slightly shifted to the left due to higher order effects that become important at higher  $Q^2$ , but it's interestingly close to **1/3**...

Can be interpreted as elastic scattering off a charged “parton” with mass

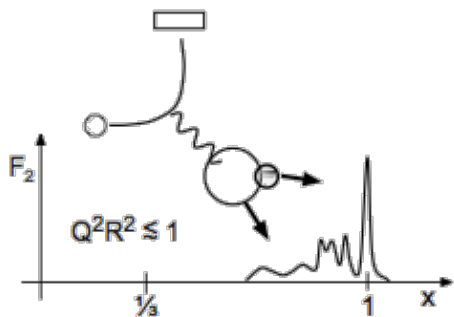
$$m_p \approx M/3$$

# Parton distribution functions



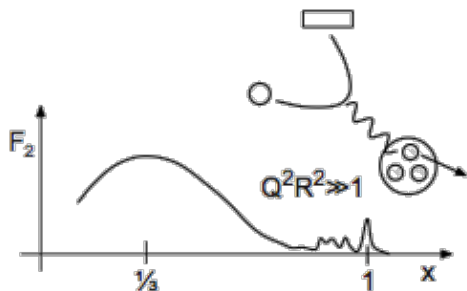
$$Q^2 \ll 1 \text{ GeV}^2$$

Elastic  $x = 1$



$$Q^2 \approx 1 \text{ GeV}^2$$

Quasi-elastic  
(excited states of  
the proton)



$$Q^2 \gg 1 \text{ GeV}^2$$

Deep-Inelastic  
(parton structure)

At even higher  $Q^2$ ,  
smaller  $x$  values  
become available  
(not shown), large  
peak near  $x \approx 0$



**“Sea” quarks**  
=  
**quark-antiquark  
pairs**

Also neutral partons: **gluons!**